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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 12-1100

First Inventor or Application Identifier Michael Wojtowicz

Title GaN HBT SUPERLATTICE BASE STRUCTURE

Express Mail Label No. EF238848644US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages 5]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]

4. Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

- 5. ☐ Microfiche Computer Program (Appendix)
- 6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7. ☒ Assignment Papers (cover sheet & document(s))
- 8. ☒ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- 9. ☐ English Translation Document (if applicable)
- 10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- 11. ☐ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) ☐ Status still proper and desired
- 14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Patent Counsel				
	TRW Inc.				
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City	Redondo Beach	State	CA	Zip Code	90278
Country		Telephone	310-812-1534	Fax	310-812-2687

Name (Print/Type)	Connie Thousand	Registration No. (Attorney/Agent)	43,191
Signature	<i>Connie Thousand</i>	Date	04/11/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

jc867 U.S. PTO
04/12/01

09/833372

04/12/01

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$990.00)		Application Number	
		Filing Date April 12, 2001	
		First Named Inventor Michael Wojtowicz	
		Examiner Name Not Assigned	
		Group / Art Unit N/A	
		Attorney Docket No. 12-1100	

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 20-1515</p> <p>Deposit Account Name TRW Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other </p>	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <h4 style="margin: 0;">3. ADDITIONAL FEES</h4> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr> <tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td>0.00</td></tr> <tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116 380 216 190</td><td></td><td>Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117 870 217 435</td><td></td><td>Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118 1,360 218 680</td><td></td><td>Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128 1,850 228 925</td><td></td><td>Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119 300 219 150</td><td></td><td>Notice of Appeal</td><td>0.00</td></tr> <tr><td>120 300 220 150</td><td></td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121 260 221 130</td><td></td><td>Request for oral hearing</td><td>0.00</td></tr> <tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141 1,210 241 605</td><td></td><td>Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142 1,210 242 605</td><td></td><td>Utility issue fee (or reissue)</td><td>0.00</td></tr> <tr><td>143 430 243 215</td><td></td><td>Design issue fee</td><td>0.00</td></tr> <tr><td>144 580 244 290</td><td></td><td>Plant issue fee</td><td>0.00</td></tr> <tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123 50 123 50</td><td></td><td>Petitions related to provisional applications</td><td>0.00</td></tr> <tr><td>126 240 126 240</td><td></td><td>Submission of Information Disclosure Stmt</td><td>0.00</td></tr> <tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146 690 246 345</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149 690 249 345</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td>(\$) 40.00</td> </tr> </tbody> </table> <p>* Reduced by Basic Filing Fee Paid</p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130 205 65		Surcharge - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Connie M. Thousand	Registration No. (Attorney/Agent)	43,191
Signature	<i>Connie M. Thousand</i>	Telephone	310-812-1534
		Date	04/11/01

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